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PTO/SB/01 (10-00)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration
Submitted With Initial
Filing OR ☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number 17844-1
First Named Inventor David R. Bassett et al.

COMPLETE IF KNOWN

Application Number /
Filing Date
Group Art Unit
Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ALKALI SOLUBLE LATEX THICKENERS

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 06/14/1999 as United States Application Number or PCT International

Application Number PCT/US99/13406 and was amended on (MM/DD/YYYY) 07/06/2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/089,216	06/15/1998	

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

WE/I hereby appoint:

☐ Practitioners at Customer Number

Place Customer
Number Bar Code
Label here

OR

☒ Practitioner(s) named below:

NORMAN L. BALMER, REG. NO. 25388; SAUL R. BRESCH, REG. NO. 22104; GERALD L. COON, REG. NO. 29910;
PATRICK A. DOODY, REG. NO. 35022; GUS T. HAMPILOS, REG. NO. 31206; JOSEPH S. KENTOFFIO, REG. NO.
33189; PAUL W. LEUZZI, II, REG. NO. 29556; GERARD P. ROONEY, REG. NO. 21003; WARREN K. VOLLES, REG.
NO. 33810;

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Direct all correspondence to:

☐ Customer Number
or Bar Code Label

OR ☒ Correspondence address below

Name	STANLEY J. PACCIONE		
Address	UNION CARBIDE CORPORATION		
Address	39 OLD RIDGEBURY ROAD		
City	State	ZIP	
DANBURY	CT	06817-0001	
Country	Telephone	Fax	
USA	203-794-5099	203-794-6269	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name	DAVID ROBINSON		Family Name or Surname	BASSETT
Inventor's Signature	David Robinson Bassett		Date	Dec 7, 2000
Residence: City	State	Country	Citizenship	
CARY	NC	USA	USA	
Mailing Address 100 FALLSWORTH DRIVE				
Mailing Address				
City	State	ZIP	Country	
CARY	NORTH CAROLINA	27513	USA	

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DECLARATION — Utility or Design Patent Application

NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name <u>KEITH RUSSELL</u>		Family Name <u>QLESEN</u> or Surname	
Inventor's Signature <u>Keith Russell</u>	Date <u>DEC 4/00</u>		
Residence: City <u>MORRISVILLE</u>	State <u>NC</u>	Country <u>USA</u>	Citizenship <u>CANADA</u>
Mailing Address <u>300 CARPENTER TOWN LANE</u>			
Mailing Address			
City <u>MORRISVILLE</u>	State <u>NORTH CAROLINA</u>	ZIP <u>27560</u>	Country <u>USA</u>
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

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0073694400

DEED OF ASSIGNMENT

Date: May 26, 1999

Title of Invention/Design

Alkali Soluble Latex Thickeners

Assignor(s) We the undersigned David Robinson Bassett, Director Research and Development, citizen of the United States of America and Keith Russell Olesen, citizen of Canada Residing respectively at 100 Fallsworth Drive Cary, North Carolina 27513 and 300 Carpenter Town Lane Morrisville, North Carolina, 27560 United States of America

Assignee(s) UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION
of 39 Old Ridgebury Road, Danbury, State of Connecticut 06817-0001
United States of America

The Assignor(s) declare that I/we are the inventor(s) of the above mentioned invention/design and for due consideration hereby assign the right to apply for and obtain patents therefor in to the Assignee(s) and the Assignee(s) hereby accept such assignment.

In witness whereof, the Assignor(s) and Assignee(s) have signed their names below, effective on the date above.

ASSIGNOR(S):

- 1) David Robinson Bassett: David Robinson Bassett
- 2) Keith Russell Olesen : Keith Russell Olesen

ASSIGNEE(S): UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION

by: Karen Johnson Wiggins
Karen Johnson Wiggins
Authorized Agent